

## 529 Plan Rollover Instructions

Here is some useful information and specific instructions to review before you get started that may avoid unnecessary correspondence and delays rolling over your 529 plan assets.

If you do not already have a Fidelity 529 Plan account for this Beneficiary, this form and rollover check should be accompanied by a 529 New Account Application. This form should be used if you are requesting a Rollover from a Coverdell education savings account, a qualified U.S. Savings bond or another 529 plan.

According to IRS regulations, you are eligible to roll over from another 529 plan once every 12 months without changing the Beneficiary. To be valid, a rollover from another 529 plan must be made within 60 days of the distribution from the other 529 plan.

If you are rolling over a Custodial 529 plan, you must establish a Fidelity-managed Custodial 529 Plan Account for the same beneficiary. You may not change the beneficiary on a Custodial 529 Plan Account.

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### 1 YOUR CURRENT 529 PLAN ADMINISTRATOR

Your current 529 plan administrator may require specific forms or signatures to roll over your 529 plan assets to Fidelity. They may also send you a rollover check directly. Please check with your current 529 plan administrator to verify if they need any of the following:

1. 529 Plan distribution paperwork
2. Your signature guarantee
3. A Fidelity Investments Officer signature
4. A Fidelity 529 Rollover form

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### 2 THE 529 PLAN ROLLOVER PROCESS

1. **Submit this form directly to Fidelity**, not the 529 plan administrator from which you are requesting the funds be transferred. If you have already received a check from your current 529 plan administrator, Coverdell education savings account, a qualified U.S. Savings bond or another 529 plan, include it with the form. If you have not received a check from your current 529 plan administrator, we will contact the plan administrator and instruct them to transfer the funds directly to Fidelity.
2. If you are requesting Fidelity to initiate your 529 plan rollover, once we receive your completed and signed form, we will review it; add attachments as necessary and forward to your current plan administrator. Fidelity will contact your plan administrator to facilitate the rollover of your assets.

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### 3 MAILING INSTRUCTIONS

Mail your completed form to:

Fidelity Investments College Plan Service Center  
P.O. Box 770001  
Cincinnati, OH 45277-0015

Contact Fidelity Investments at 1-800-544-1914 if you have any questions.

# 529 Plan Rollover Form

## 1 FIDELITY-MANAGED 529 PLAN ACCOUNT INFORMATION

The Account listed below will be the recipient of the rollover transaction. Please provide the information as requested. For accounts owned by a trust, provide the trustee's information. For custodial accounts, provide the custodian's information.

6 0  -

Account Number of Fidelity-Managed 529 Plan Account to receive rollover

Participant's First Name  MI  Last Name

Trust name (If applicable)

Participant's Security Number (required) Trust Tax ID Number  
 -  -  OR  -

Participant's Daytime (work) Phone: (  ) -  (home) Phone: (  ) -

Beneficiary Name for the above Fidelity 529 Plan account \_\_\_\_\_

## 2 COMPLETE THIS SECTION IF YOU ARE ROLLING OVER MONEY BETWEEN FIDELITY MANAGED 529 PLANS

6 0  -

Fidelity-Managed 529 Account Number to be rolled over

Beneficiary Name on Account to be rolled over \_\_\_\_\_

Full Rollover or  Partial Rollover (indicate amount) \$  ,  .

If you are requesting a Partial Rollover, please detail your liquidation instructions below:

Portfolio Name	Dollar Amount	Full Portfolio Distribution (Check all that apply)
_____	\$ _____	<input type="checkbox"/>
_____	\$ _____	<input type="checkbox"/>
_____	\$ _____	<input type="checkbox"/>
_____	\$ _____	<input type="checkbox"/>

## 3 COMPLETE THIS SECTION IF YOUR ROLLOVER MONEY IS COMING FROM A 529 PLAN NOT ADMINISTERED BY FIDELITY

Please check the appropriate box as to the type of rollover and provide the specified documentation so that your principal and earnings amounts are appropriately recorded. Until such time as Fidelity receives the specified documentation, it must, by law, treat the entire amount of the contribution as earnings in the 529 plan account receiving the distribution.

- A rollover contribution from a Coverdell education savings account. Please provide an account statement issued by the financial institution that acted as trustee or custodian of the education savings account that shows basis and earnings in the account.
- A rollover contribution from the redemption of qualified U.S. Savings Bonds. Please provide an account statement or Form 1099-INT issued by the financial institution that redeemed the bonds showing interest from the redemption of the bonds.
- A rollover contribution from another 529 program. Please provide a statement issued by the distributing 529 program that shows the earnings portion of the distribution.

If you have already withdrawn the funds you want to roll over from a 529 Plan not administered by Fidelity, a Coverdell education savings account or a qualified U.S. Savings bond, please submit your rollover check with this form. You are responsible for providing Fidelity with the principal and earnings breakdown of the amount of this rollover.

### 3 (CONTINUED)

If you are requesting Fidelity to initiate your rollover transaction from a 529 plan not administered by Fidelity, please provide the following information. For accounts owned by a trust, provide the trustee's information. For custodial accounts, provide the custodian's information. Fidelity will request the specified documentation from the current plan administrator on your behalf.

#### Account to Be Rolled Over

##### Firm Information (where assets are currently held)

Name of Firm holding your 529 plan account

Firm Address

Firm City, State, ZIP

Contact Person

Telephone Number: ( ) -

##### Asset Instructions

Select One:

Full Account Rollover  Partial Account Rollover

If you are requesting a Partial Rollover, please detail your liquidation instructions below:

##### Portfolio Name

\_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

##### Account Information

Individual  Trust  Custodial

Participant's First Name MI

Last Name

Trust name (if applicable)

Participant Social Security Number OR

Trust Tax ID Number

Account Number

Beneficiary Name

Beneficiary Social Security Number

##### Dollar Amount

\$ \_\_\_\_\_  
 \$ \_\_\_\_\_  
 \$ \_\_\_\_\_  
 \$ \_\_\_\_\_

##### Full Portfolio Distribution (Check all that apply)

##### Signature Guarantee

Does the firm holding your 529 assets require a signature guarantee?  Yes  No

If yes, signature guarantees can be obtained in a Fidelity Investor Center or many local banks. A notarization by a notary public is not an acceptable substitute for a signature guarantee.

##### Fidelity Investments Officer's Signature

Is a Fidelity Investments officer's signature required by the firm holding your 529 Plan assets?  Yes  No

If yes, Fidelity will provide upon receipt of this form.

### 4 SIGNATURE

By signing this form, I certify that I have read the Fact Kit and Participation Agreement. I understand that IRS regulations allow one rollover per beneficiary during a 12-month period without changing the beneficiary. Otherwise, a change in beneficiary is required if a transaction is to be considered a rollover. All rollovers must be completed within 60 days of the time the distribution or transfer leaves the account.

PARTICIPANT/AUTHORIZED SIGNATURE

Date

Signature Guarantee

X

##### Delivering Firm Use Only

To the previous trustee or custodian: Please be advised Fidelity Brokerage Services LLC has established a 529 account to receive the distribution from the 529 Plan referenced in section 3. Fidelity Brokerage Services LLC will accept the proceeds from the 529 Plan you administer and place the proceeds in a brokerage account that will hold units of interest in a Fidelity-managed 529 Plan. Please provide documentation so that principal and earnings amounts are appropriately recorded.

##### Successor Custodian/Trustee Signature:

\_\_\_\_\_

##### Delivery Instructions

**Forward checks to:**  
 Fidelity Investments  
 College Plan Service Center  
 P.O. Box 770001  
 Cincinnati, OH 45277-0015

**Checks should be made payable to:**  
 Fidelity Brokerage Services LLC

